RYSA INDIA GROWTH OPPORTUNITY FUND

RYSA WEALTH
Building wealth with Wisdom

FATCA CRS Self certification form for Individuals

Part I - Please fill in the country for each of the following:			
1	**Country**		
a)	Birth		
b)	Citizenship		
c)	Residence for tax purposes		
2	**US Person (Yes/No)**		

Part II - Please note:			
a.	If in all fields above, the country mentioned by you is India and if you do not have US person status, please proceed to Part III for signature.		
b.	If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:		
	i)	TIN	
		Country of Issue	
	ii)	TIN	
		Country of Issue	
	iii)	TIN	
		Country of Issue	

Notes:

- In case any of the parameters in Part I indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayer Identification Numbers/functional equivalent, please complete and sign the Self-Certification section given in **Part IV.**
- In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing relinquishment of Citizenship. If not available, provide reasons for not having relinquishment certificate:

  _____.

  Please also fill **Part IV of Self-Certification**.

Part III - Customer Declaration (Applicable for all customers)

I. Under penalty of perjury, I/we certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (Applicable only if the account holder is identified as a US person).
2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. (Applicable only if the account holder Is a tax resident outside of India).

II. I/We understand that the Fund is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Fund is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.

III. I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

IV. I/We agree that as may be required by domestic regulators/tax authorities the Fund may also be required to report, reportable details to CBDT or close or suspend my account.

V. I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Signature(s)			
Sole / First Holder	**Joint Holder-1**	**Joint Holder-2**	**Guardian** (in case of minor)

Name of Sole / First Holder	**Name of Joint Holder-1**	**Name of Joint Holder-2**	**Name of Guardian**

Place:_____ **Date:**_____

Part IV - Customer Declaration (Applicable for all customers)			
To be filled only if a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or b) US person is mentioned as Yes in Part I, and TIN is not available.			
I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.		**Signature**	
Passport		Election ID Card	
Employment ID		Bank Passbook	

The Indian Government signed an Inter-Governmental Agreement (IGA) with the United States (US) on 9th July 2015 to implement the Foreign Account Tax Compliance Act (FATCA) in India. According to the IGA read with the FATCA regulations, foreign financial institutions (FFIs) in India are required to report tax information about US account holders to the Indian Government which will, in turn, relay that information to the US Internal Revenue Service (IRS) and vice versa. India is one amongst the various counties which has also signed Multilateral Authority Competent Agreement (MCAA) with the Organisation for Economic Co-operation and Development (OECD) for implementation of Common Reporting Standards (CRS) reporting for Automatic Exchange of Information (AEOI) between agreeing countries. Following the signing of the IGA, on 7 August 2015, the Indian Government enacted rules relating to FATCA and CRS reporting in India pursuant to which all FFIs are required to file the details of U.S. and other Reportable Accounts to the Indian Government by May 31 of each year.

For more details, you may refer the below links:

1. US IRS - Foreign Account Tax Compliance Act (FATCA)
   https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-f atca
2. OECD - Common Reporting Standard (CRS)
   http://www.oecd.org/ctp/exchange-of-tax-information/standard-for-automatic-exchange-of-financial-information-in-tax-matters.htm
3. Guidance Note on FATCA CRS
   http://www.incometaxindia.gov.in/Documents/exchange-of-information/Guidance-note-on-fatca-crs.pdf

Certificate

Under penalty of perjury, I certify that:

I understand that **RYSA India Growth Opportunity Fund** is relying on this information for the purpose of determining the status of the account holder named above in compliance with FATCA/CRS. The Rysa India Growth Opportunity Fund is not able to offer any tax advice on FATCA/CRS or its impact on the account holder. I/We will seek advice from professional tax advisor for any tax questions.

I agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

I agree that as may be required by domestic regulators/tax authorities, Rysa India Growth Opportunity Fund will also be required to report, reportable details to CBDT or authorities/agencies or close or suspend my account as appropriate.

I have understood the information requirements of this Form (read along with FATCA/CRS instructions) and hereby confirm that the information provided by me/us on this Form including the taxpayer identification number is true, correct and complete.

I/We also confirm that I/We have read and understood the FATCA Terms and Conditions and hereby accept the same.

I/We acknowledge and confirm that if there is any false or inaccurate information provided in the self-certification which in turn leads to furnishing of an incorrect statement under section 285BA under the Income-tax Act, 1961, I / we may be liable for a penalty of five thousand rupees which shall be imposable with respect to such inaccurate information provided.

Are You A US/Canada Citizen -

☐ No ☐ Yes

If yes,

I hereby confirm that I am a resident of the United States of America/Canada or a resident of a jurisdiction, the laws of which impose prohibition on soliciting the securities business in that jurisdiction without going through the registration requirements and/or prohibit the use of any information contained in this form.

If accessing this form by giving false declaration, the person shall be solely liable/responsible for any adverse consequences suffered, legally as well as financially, pursuant to use of any information contained in this form.

Signature(s)				
	Sole / First Holder	**Joint Holder-1**	**Joint Holder-2**	**Guardian** (in case of minor)
	Name of Sole / First Holder	**Name of Joint Holder-1**	**Name of Joint Holder-2**	**Name of Guardian**

Place:_____ **Date:**_____